UTILITY PATENT APPLICATION TRANSMITTAL

(for Noncontinuing, Nonprovisional Applications under 37 C.F.R. §1.53(b))

Attorney Docket No. ARC9-2000-0138-US1

PATENT APPLICATION

Condissioner of Patents and Trademarks
ATTENTION: Assistant Commissioner

for Patents
Washington, D.C. 20231

Sir:

Yanna Januar Januar

jim Jun

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is the nonprovisional, noncontinuing patent application for:

Title: SYSTEM, METHOD AND PROGRAM PRODUCT FOR IMPROVING BROKER'S PROFITS IN ELECTRONIC COMMERCE

First Named Inventor or Application Identifier: Nimrod MEGIDDO



- (X) 16 pages of the specification (including claims) are enclosed.
 - (X) 3 sheet(s) of drawings are enclosed. () Formal (X) Informal
 - (X) An executed Oath or Declaration and Power of Attorney naming the actual inventors is enclosed.
 - () The names of persons believed to be the actual inventors are set forth in the enclosed unexecuted Oath or Declaration and Power of Attorney (§1.41(a) and §1.53(b)).
 - (X) An Assignment(s) of the invention to:

 ASSIGNEE NAME: <u>International Business Machines Corporation</u>

 ASSIGNEE RESIDENCE: <u>Armonk, New York</u>

 and cover sheet are enclosed.
 - (X) A check in the amount of \$40.00 to cover the fee for recording the assignment(s) is enclosed.
 - () A 37 C.F.R. §3.73(b) Statement is enclosed (where an Assignee seeks to take action in a matter before the Patent Office).
 - (X) An Information Disclosure Statement is enclosed.
 - (X) A Form PTO-1449 is enclosed.
 - (X) 8 References (copies) listed on the Form PTO-1449 are enclosed.
 - (X) A Return Receipt Postcard is enclosed (MPEP §503).

| () | Priority of application number filed on is claimed under |
|--|--|
| | 35 U.S.C. §119. |
| | () A certified copy of the priority document is enclosed. |
| | A MicroFiche Computer Program (Appendix) is enclosed. |
| | A Nucleotide and/or Amino Acid Sequence Submission is enclosed. |
| | () A Computer Readable Copy is enclosed. |
| | () A Paper Copy (Identical to Computer Copy) is enclosed. |
| | () A Statement Verifying Identity of above Copies is enclosed. |
| (X) | The filing fee is calculated below: |
| 1 | Fee Calculation For Claims As Filed |
| think them them the them of them the them them them them | (a) Basic Fee \$ 710.00 |
| | (b) Independent Claims <u>3</u> - 3 = <u>0</u> x \$ 80.00 = \$ |
| | (c) Total Claims <u>17</u> - 20 = <u>0</u> x \$ 18.00 = \$ |
| | (d) Fee for Multiply Dependent Claims \$270.00 \$ |
| | Total Filing Fee \$ 710.00 |
| | A Statement(s) of Status as Small Entity is enclosed, reducing the Filing Fee by half to: \$ |
| (X) | A check in the amount of $$\frac{710.00}{}$ to cover the filing fee is enclosed. |
| | A check in the amount of \$\frac{710.00}{} to cover the filing fee is enclosed. Charge \$ to Deposit Account No. 06-1135. |
| | The payment of the Filing Fee is to be deferred until the Declaration is filed. Do not charge our Deposit Account. |
| () | A separate written request under 37 C.F.R. §1.136(a)(3), which is a general authorization to treat any concurrent or future reply requiring a petition for an extension of time under 37 C.F.R. §1.136(a) for its timely submission as incorporating a petition for an extension of time for the appropriate length of time, is enclosed. |
| (X) | The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in duplicate. |

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() Also enclosed:

(X) Address all future communications to Customer Number 22242.



FITCH, EVEN, TABIN & FLANNERY
Suite 1600
120 South LaSalle Street
Chicago, Illinois 60603-3406
Telephone: (202) 789-4900
Facsimile: (202) 789-8707

January 4, 2001 (Date)

Charles W. Peterson, Jr. Registration No. 34,406

Noncontinuing Utility §1.53(b)-3-1299